

# Realizing real-time implementation of CO-OFDM receiver with FPGAs

**N. Kaneda<sup>1</sup>, Q. Yang<sup>3</sup>, X. Liu<sup>2</sup>, W. Shieh<sup>3</sup>, and Y.K. Chen<sup>1</sup>**

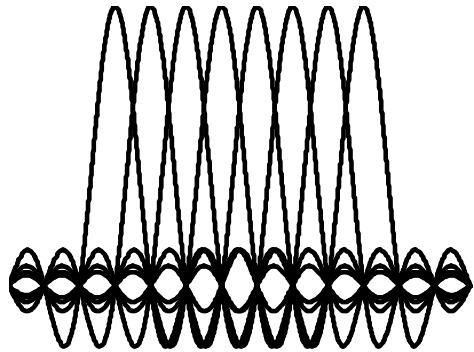
*1: Bell Laboratories, Alcatel-Lucent, 600-700 Mountain Avenue, Murray Hill, NJ 07974, USA*

*2: Bell Laboratories, Alcatel-Lucent, 791 Holmdel-Keyport Road, Holmdel, NJ 07733, USA*

*3: Department of Electrical and Electronic Engineering, The University of Melbourne, VIC 3010, Australia*

*Email: [kaneda@alcatel-lucent.com](mailto:kaneda@alcatel-lucent.com)*

# OFDM (Orthogonal Frequency-Division Multiplexing) modulation

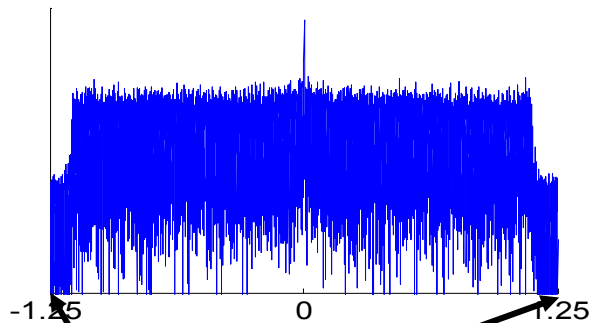


Orthogonal subcarriers

Orthogonal subcarriers allows us to densely populate the spectrum.



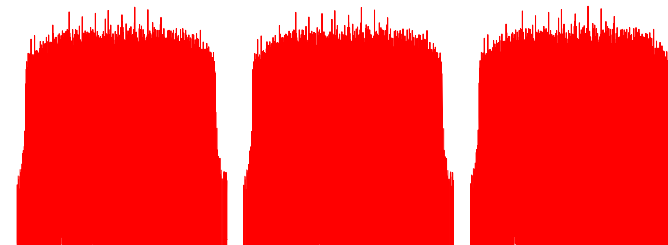
Efficient spectrum usage



Nyquist frequency

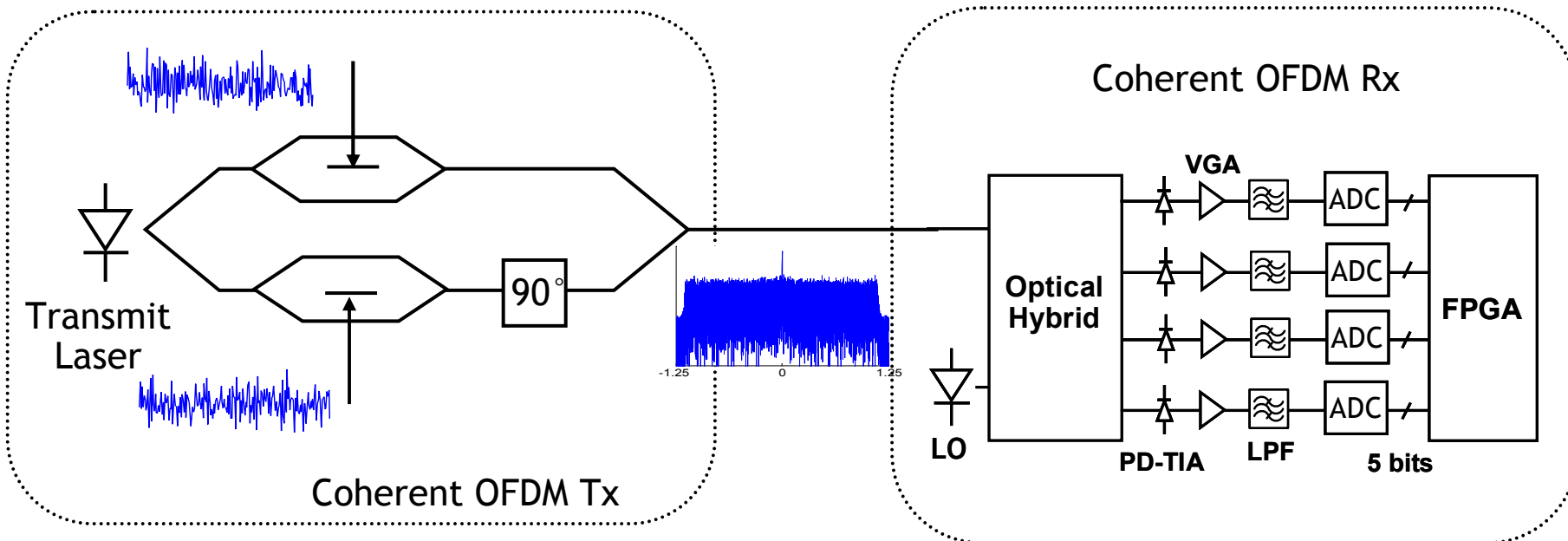
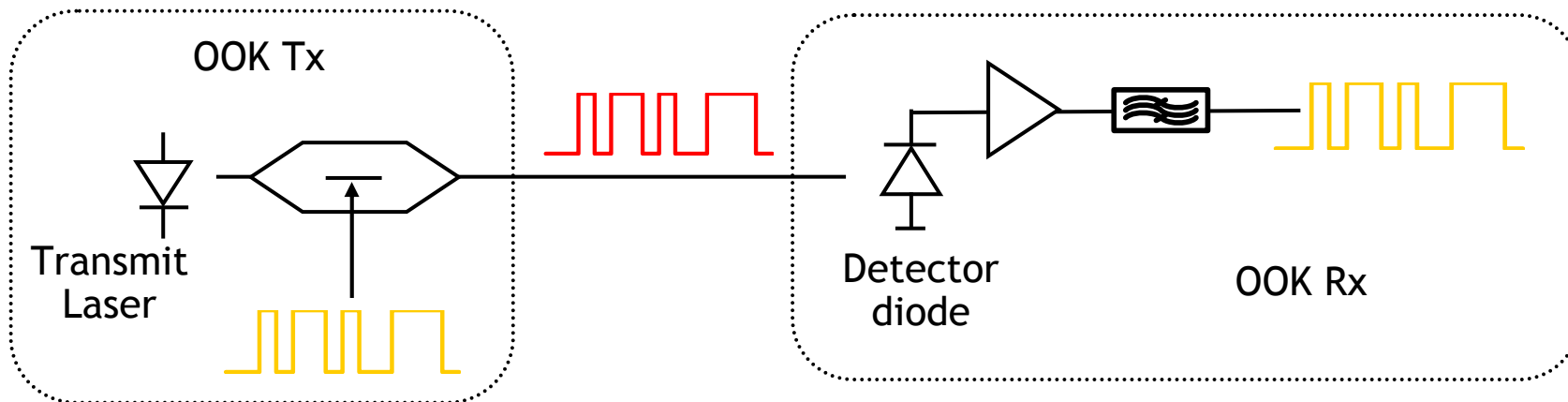
OFDM subcarriers can fill in available spectrum close to the Nyquist frequency.

E/O

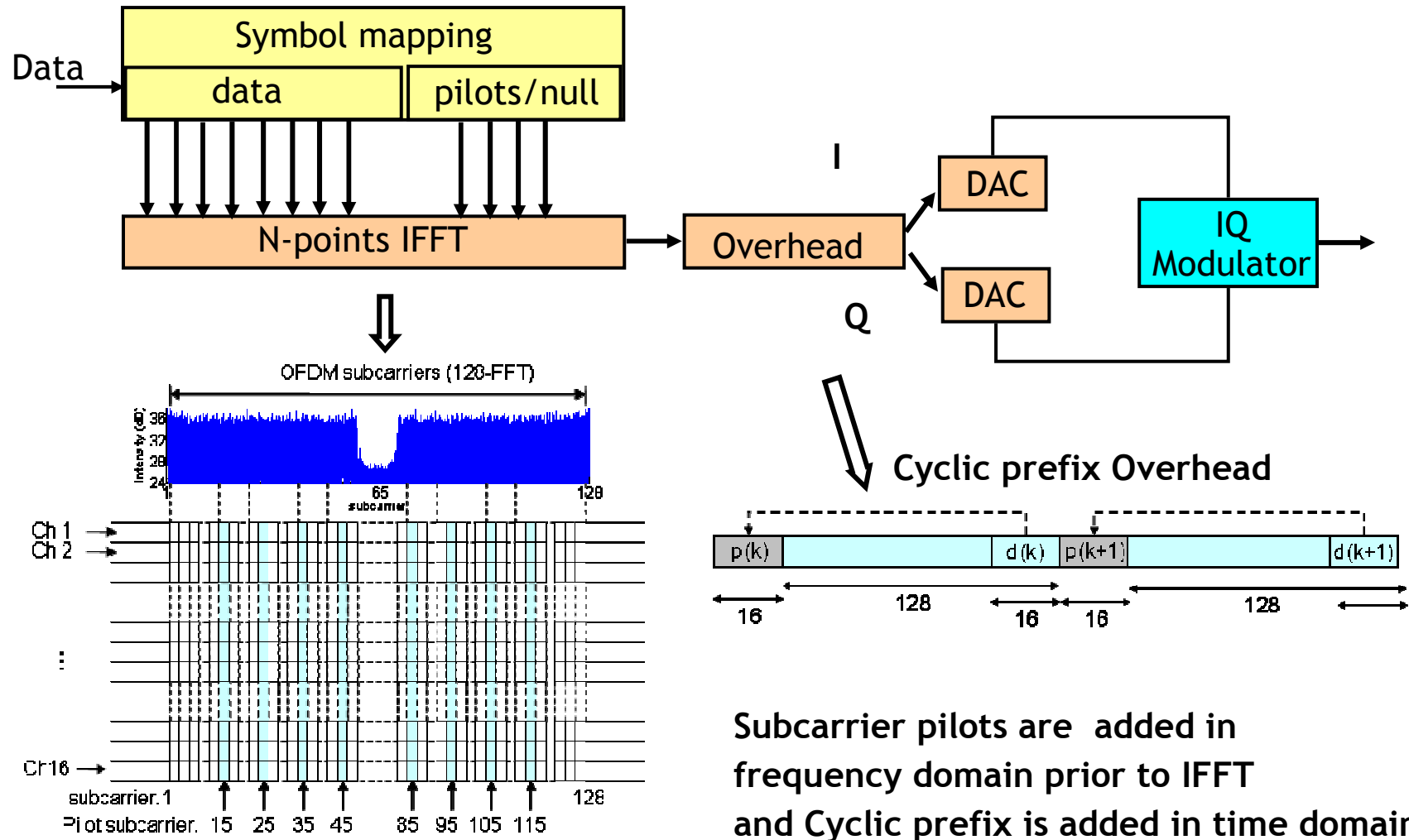


Small or zero frequency guard-band  
optical OFDM measurements are reported

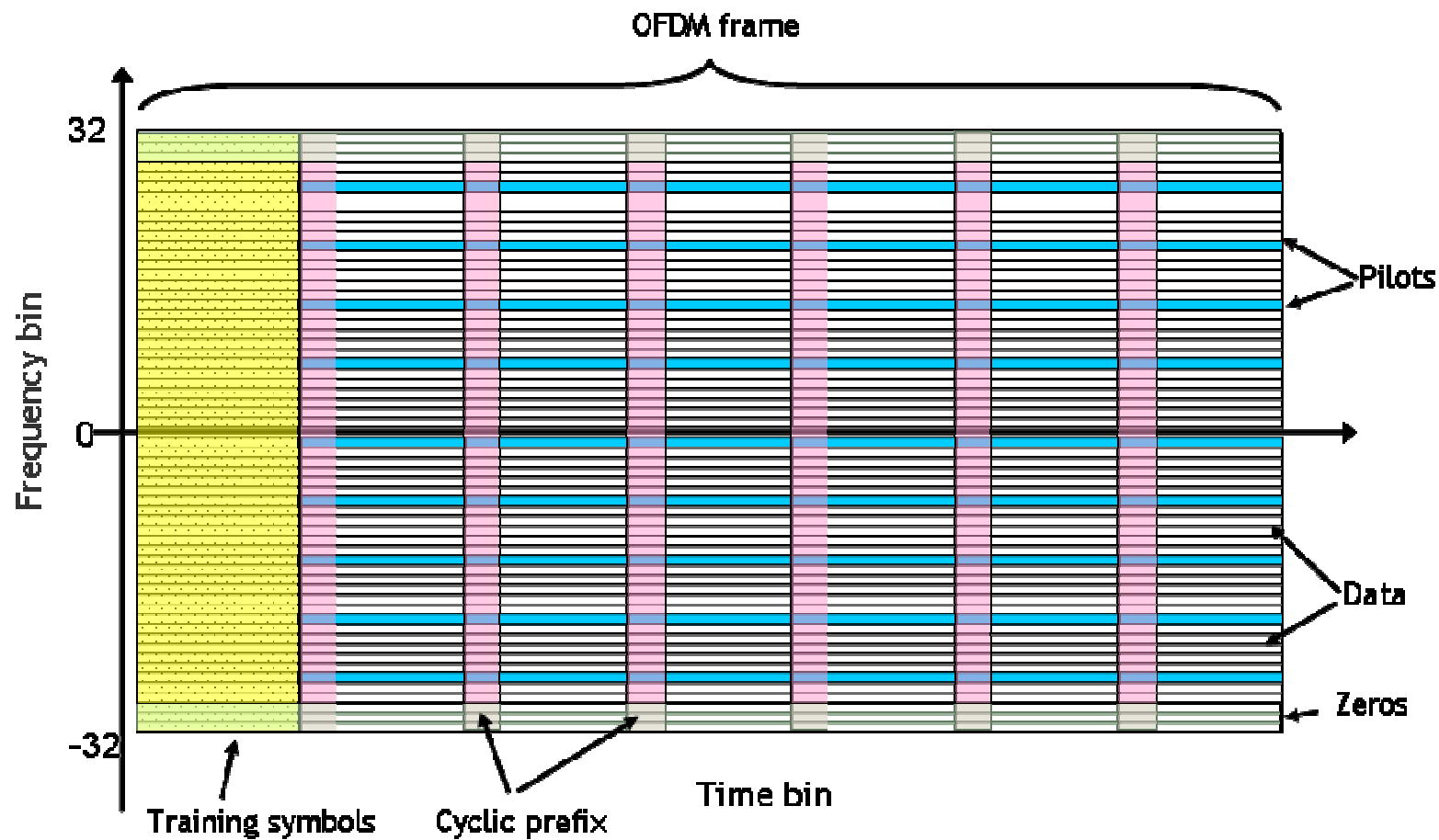
# Coherent Optical OFDM Tx/Rx as opposed to OOK Tx/Rx



# OFDM Tx signal processing diagram



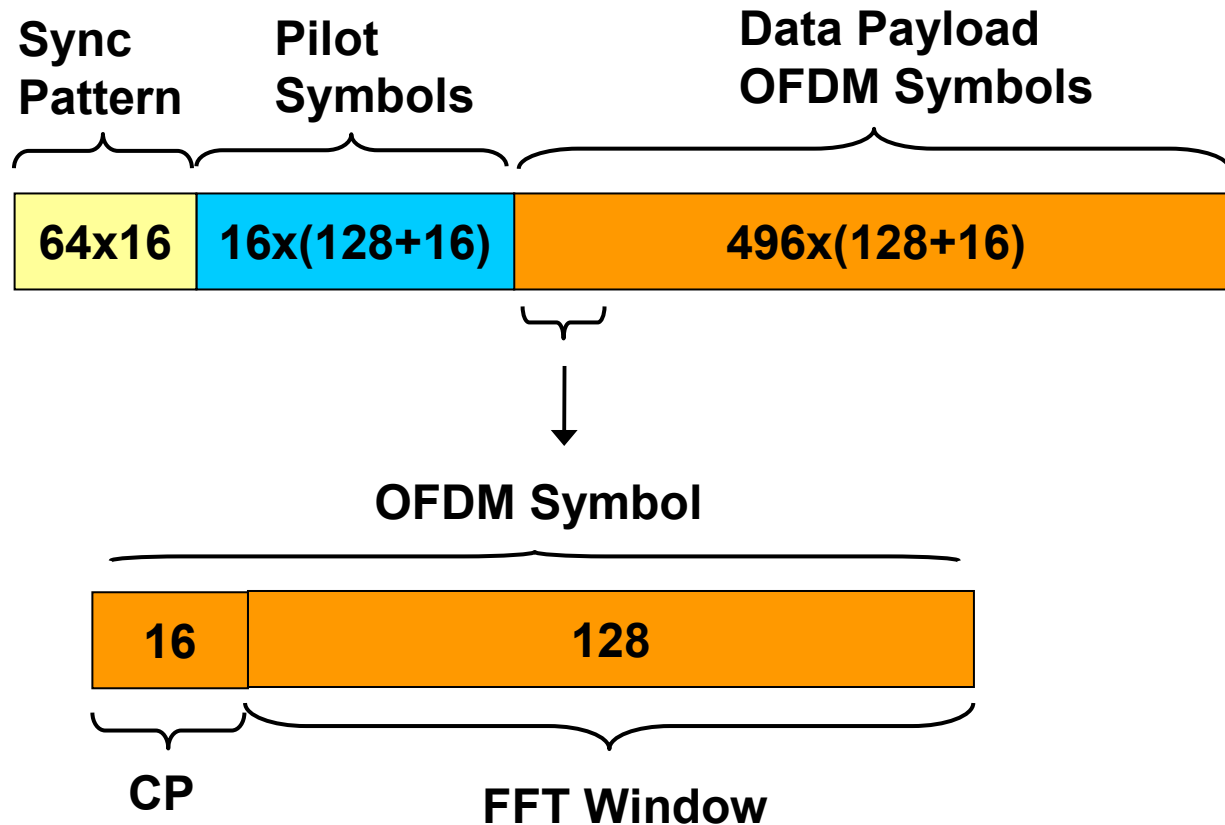
# Illustration of OFDM frame in time-frequency profile



OFDM is a time-frequency modulation data format

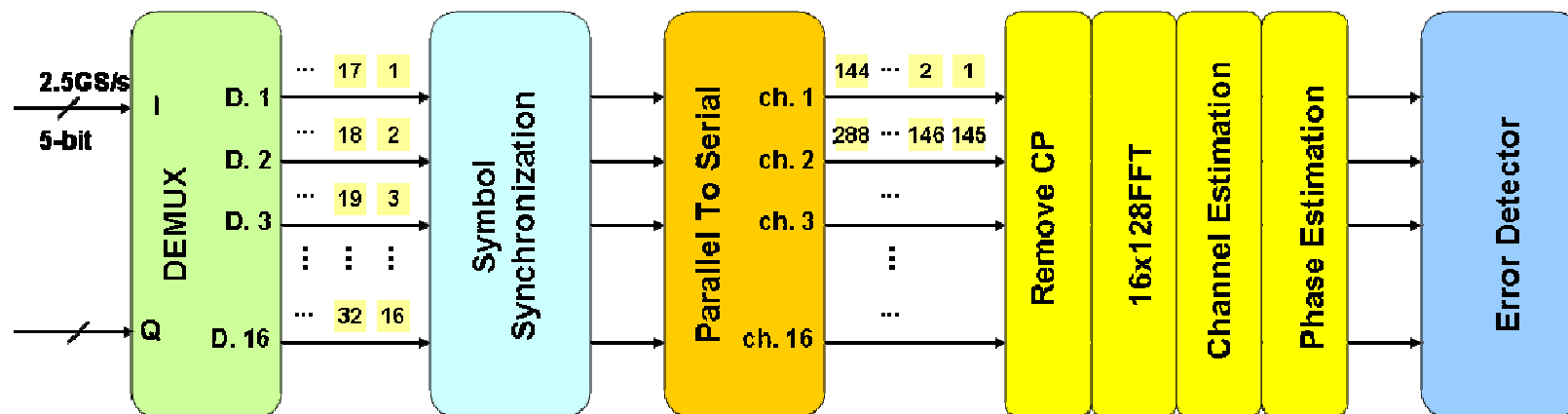
⇒ More dimensions and freedom for further improvement

# OFDM frame structure for real-time demonstration



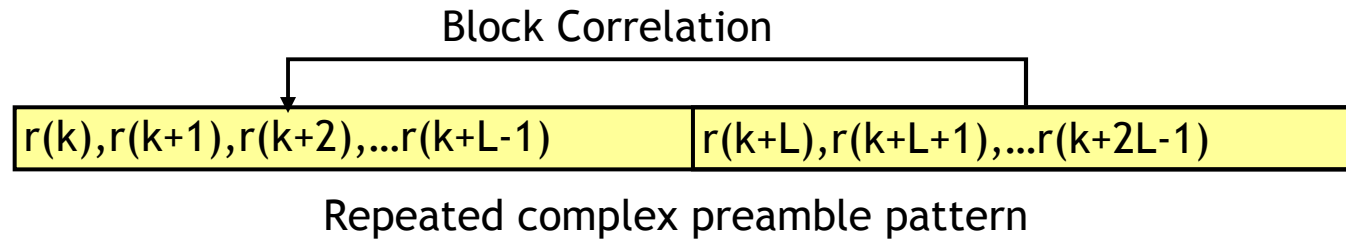
Frame structure is constructed based on the detection methods used for receiver. Frame/symbol synchronization, channel estimate, frequency, phase estimate etc.

## DSP diagram for real-time 2.5GS/s OFDM receiver

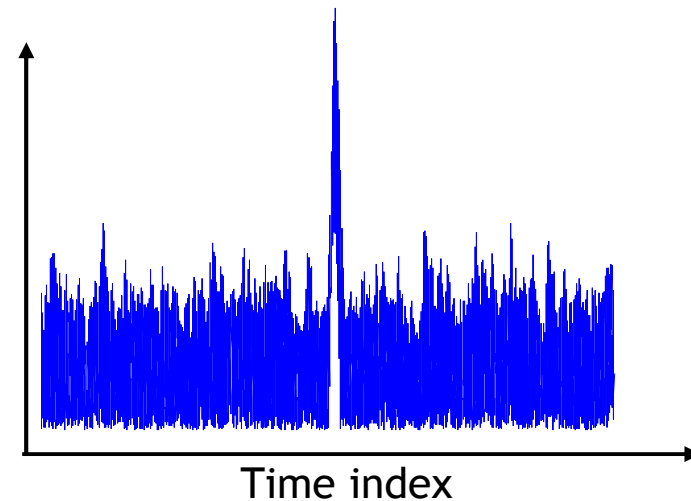
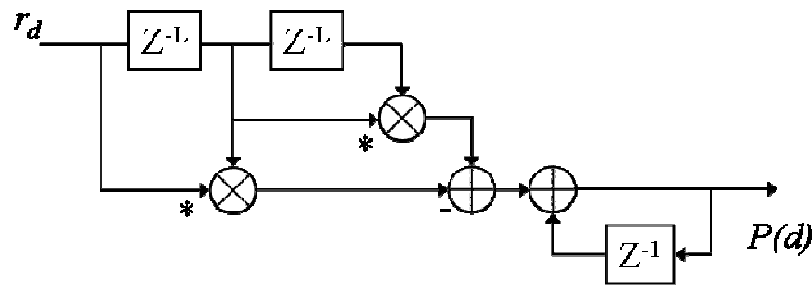


- QPSK modulation.
- 16 channel parallel processing.
- Auto-correlation based (Schmidl and Cox) symbol synchronization for every frame.
- Utilization of serial 128-point FFT.

# OFDM Symbol Synchronization



$$P(d+1) = P(d) + r_{d+L}^* r_{d+2L} - r_d^* r_{d+L}$$

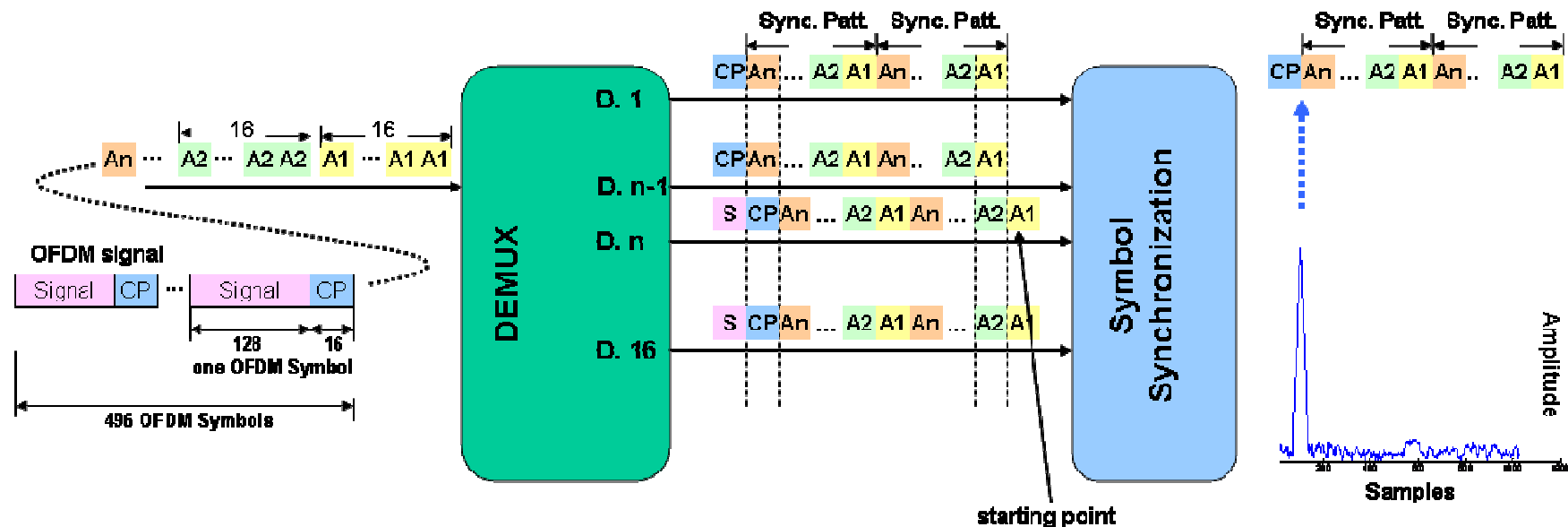


Commonly used synchronization techniques  
after Schmid & Cox

➡ Resource intensive when parallelized straightforward !



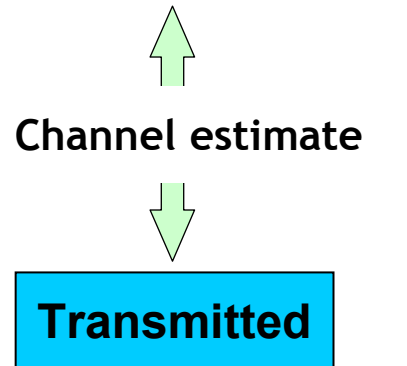
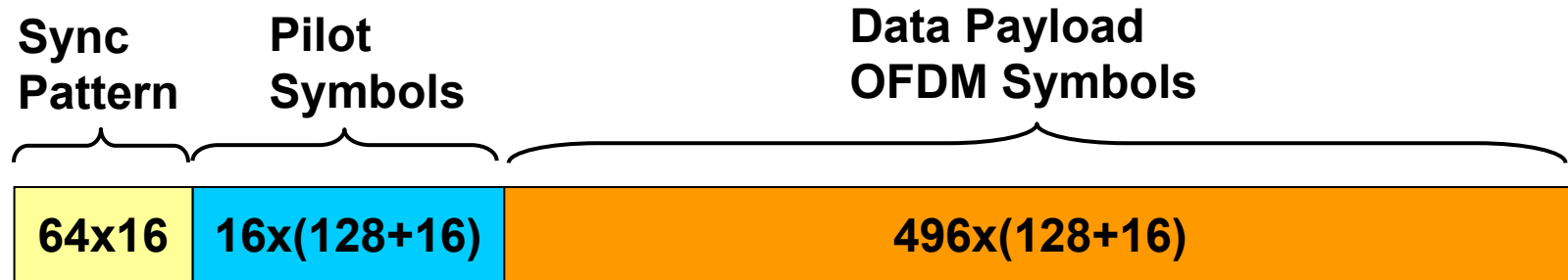
## Simple solution for frame synchronization



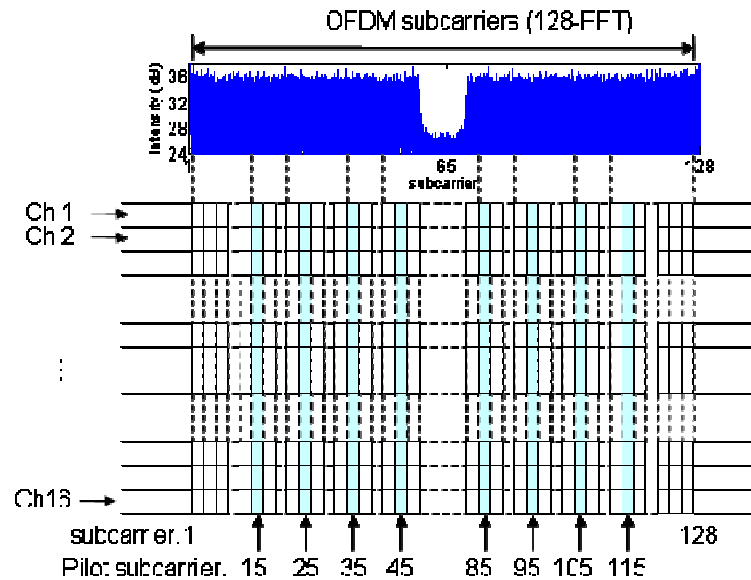
Synchronization pattern is repeated 16 times thus the conventional auto-correlation can be applied to one of the parallel channel.

- Pros: Simple and small resource requirement.
- Cons: Small frequency offset tolerance.  
Larger overhead requirement. Loss of CP to timing synchronization.

# OFDM channel and phase estimate



Channel estimation only done for every frame



Phase estimation done for every pilot of every symbol

## OFDM channel and phase estimate

Received signal in frequency domain

$$R_d(k) = H(k)B_d(k)C_d(k)$$

Where H and B are the transfer functions for channel and phase

$$\hat{H}^{-1}(k) = \frac{1}{M} \sum_{d=1}^M R_d^*(k)C_d(k) \quad \hat{B}_d^{-1} = \frac{1}{N_p} \sum_{k=1}^{N_p} R_d^*(k)C_d(k)$$

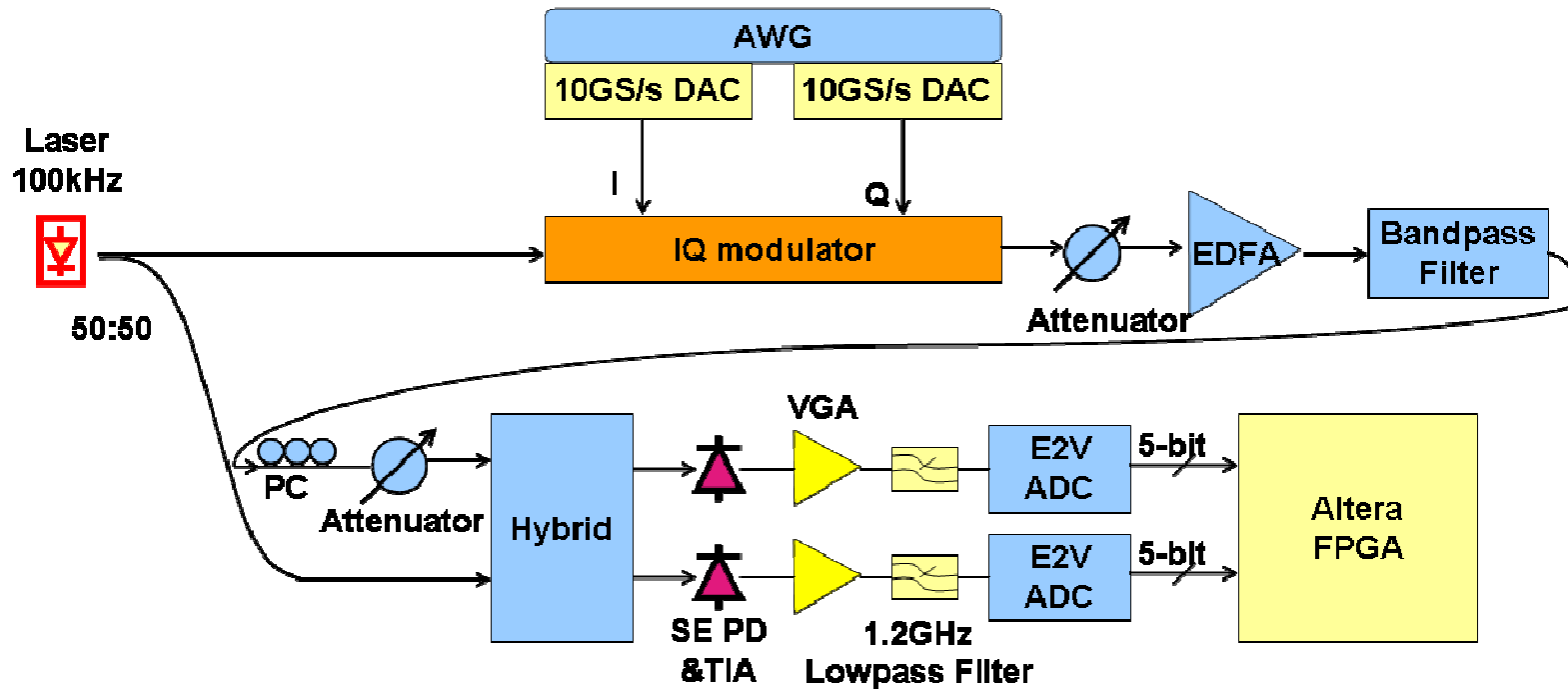
TABLE I  
LOOKUP TABLE FOR CHANNEL AND PHASE ESTIMATE

| Information symbols of pilot | Modulated symbols of pilot | $H^{-1}$ or $B^{-1}$ |           |
|------------------------------|----------------------------|----------------------|-----------|
|                              |                            | real                 | imaginary |
| 0                            | $-1+j$                     | $-a-b$               | $a-b$     |
| 1                            | $-1-j$                     | $-a+b$               | $-a-b$    |
| 2                            | $1+j$                      | $a-b$                | $a+b$     |
| 3                            | $1-j$                      | $a+b$                | $-a+b$    |

Normalized signal:  $R = a + ib$

Simple lookup table will suffice the complex multiplication for QPSK modulation.

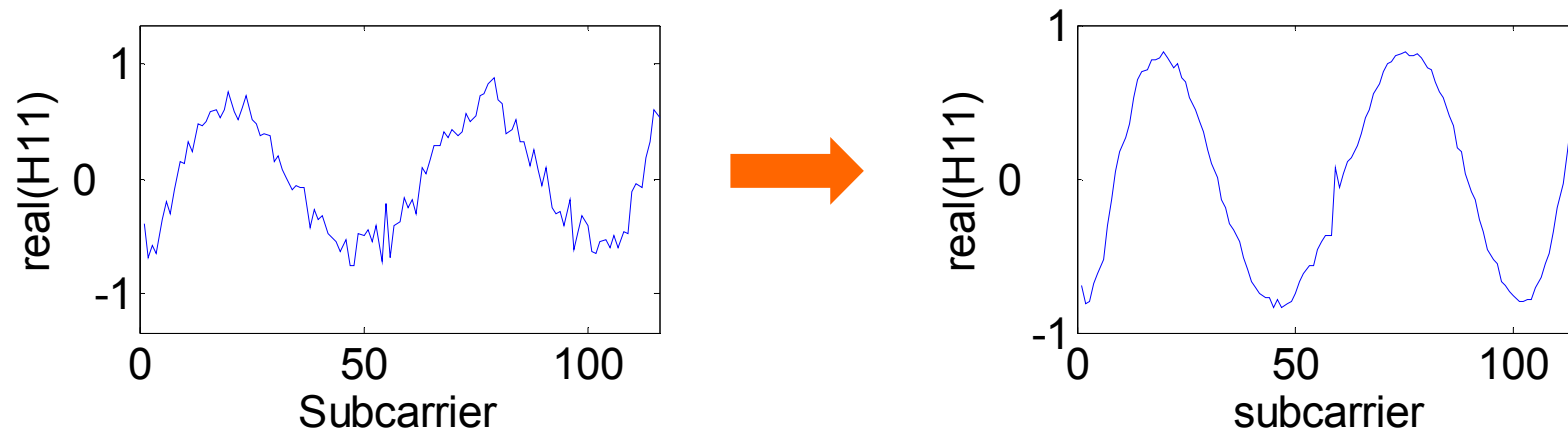
## Real-time OFDM receiver experimental setup



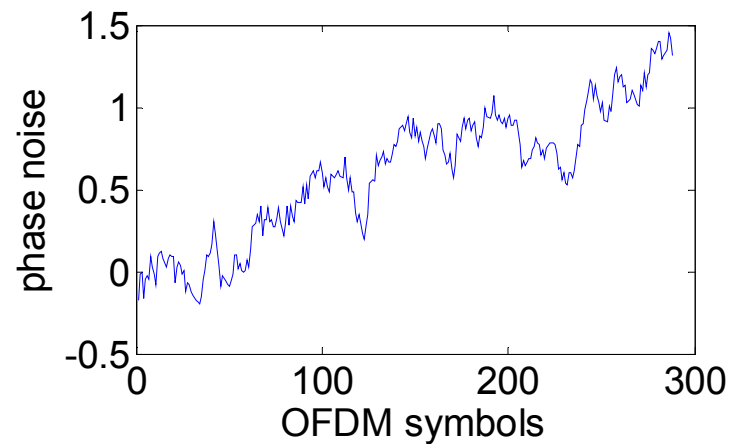
- Arbitrary waveform generator for 128 subcarrier OFDM transmitter.
- 116 subcarriers are filled with QPSK data and pilots.
- 5-bits ADC at 2.5GS/s. Altera Stratix II GX FPGA.
- An external cavity laser is shared for transmit and receive laser.
- Single polarization with polarization control.

## Channel and Phase Estimation

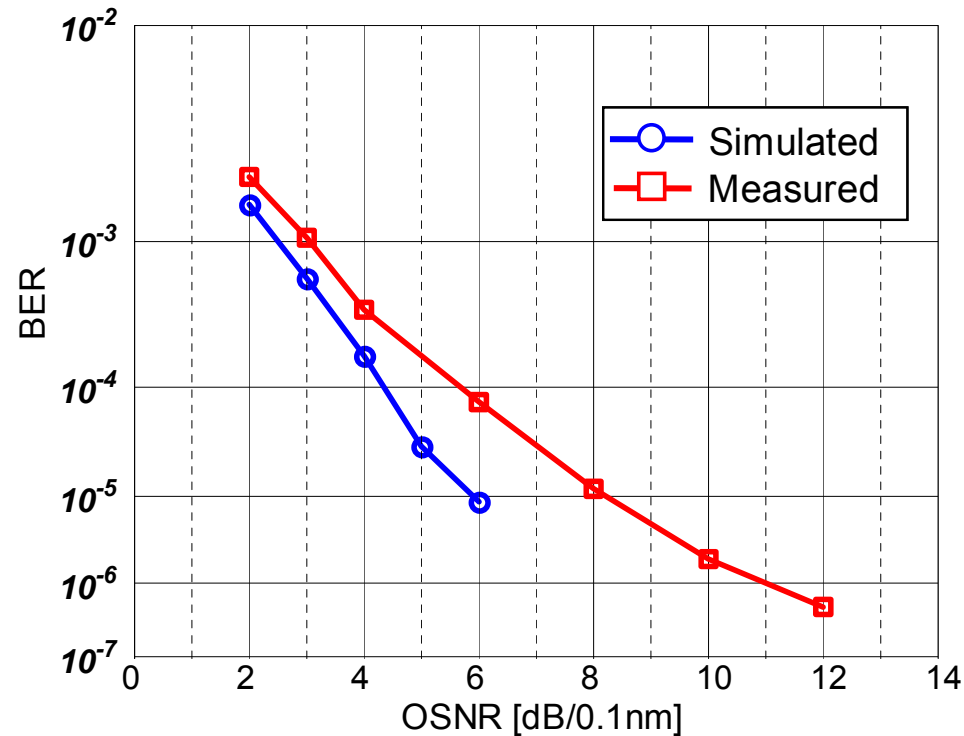
**16 out of 512 OFDM symbols are used as pilot symbol for CHANNEL ESTIMATION**



**8 out of 115 subcarriers are used as pilot subcarriers for PHASE ESTIMATION**

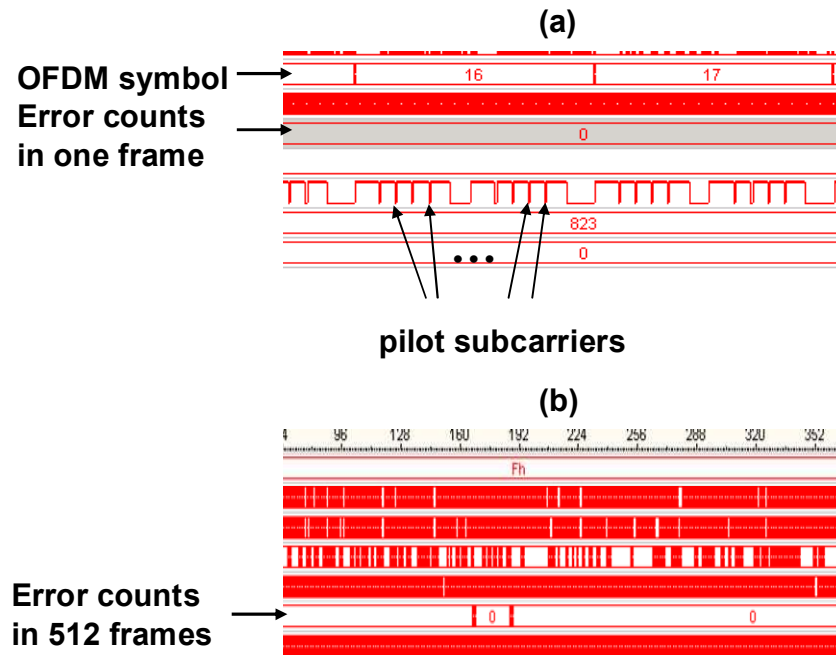


## Measurement results: BER vs OSNR



- Removing all time and frequency overhead, we achieve 3.55Gb/s data rate for 107 data subcarriers.
- Errors are counted against transmitted signals locally at FPGA.
- Limited resolution in internal processing may be contributing to higher measured BER.
- Error floor is not apparent from this plot.

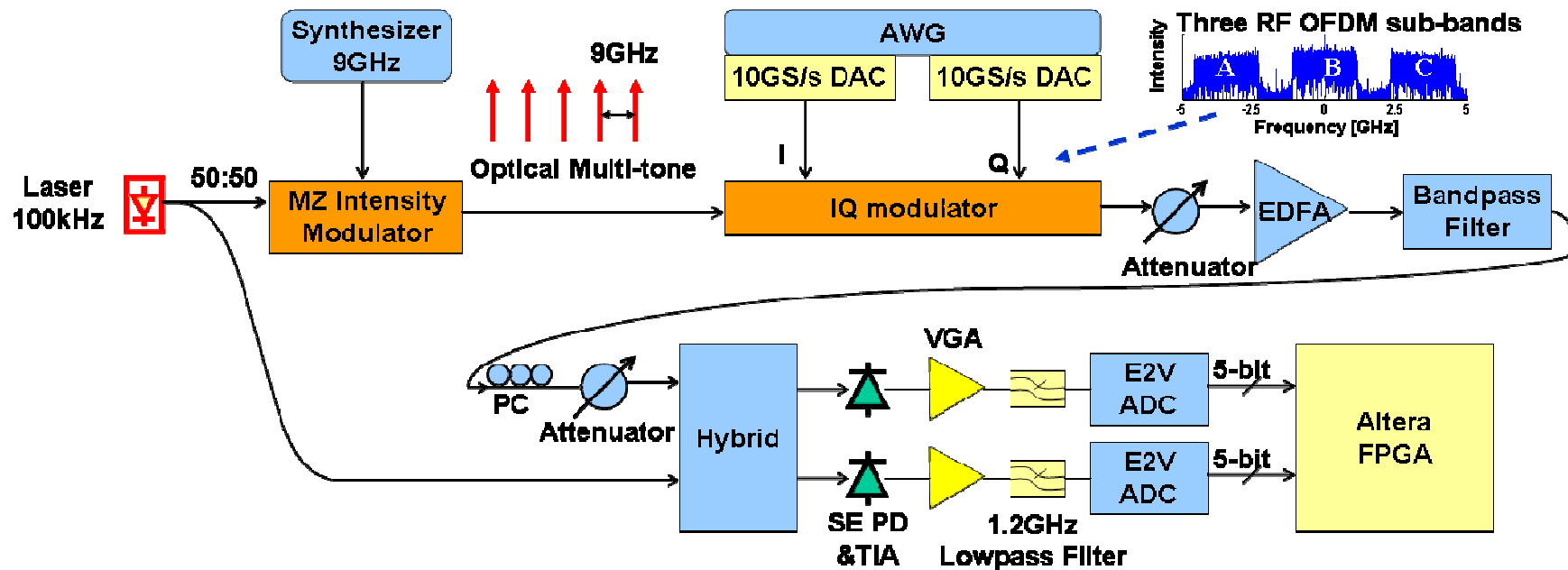
## Measurement results: Error floor verification



Verification of error floor with high OSNR.  
The errors were counted for 512 frames (i.e. 496x512 OFDM symbols).  
 $3.7 \cdot 10^{-8}$  BER is recorded.

# Expanded measurement for 53.3 Gb/s sub-banded OFDM

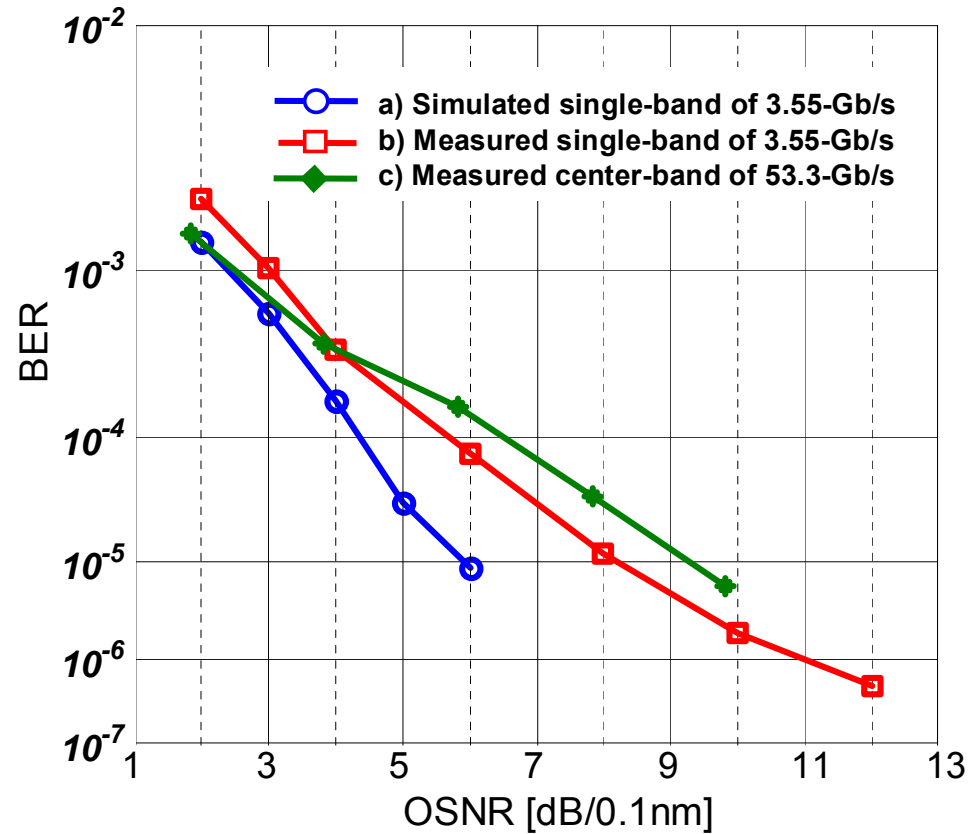
Fig.1



- Each RF OFDM carries 3.55Gb/s.
- 10GS/s AWG produces three 3.55Gb/s subbands .
- 5 optical tones spaced at 9GHz is generated by overdriving MZ modulator.
- Net rate 53.3Gb/s is achieved.



## Measurement results: BER vs OSNR



Small penalty for net rate 53.3Gb/s BER is observed at higher OSNR

[www.alcatel-lucent.com](http://www.alcatel-lucent.com)

