

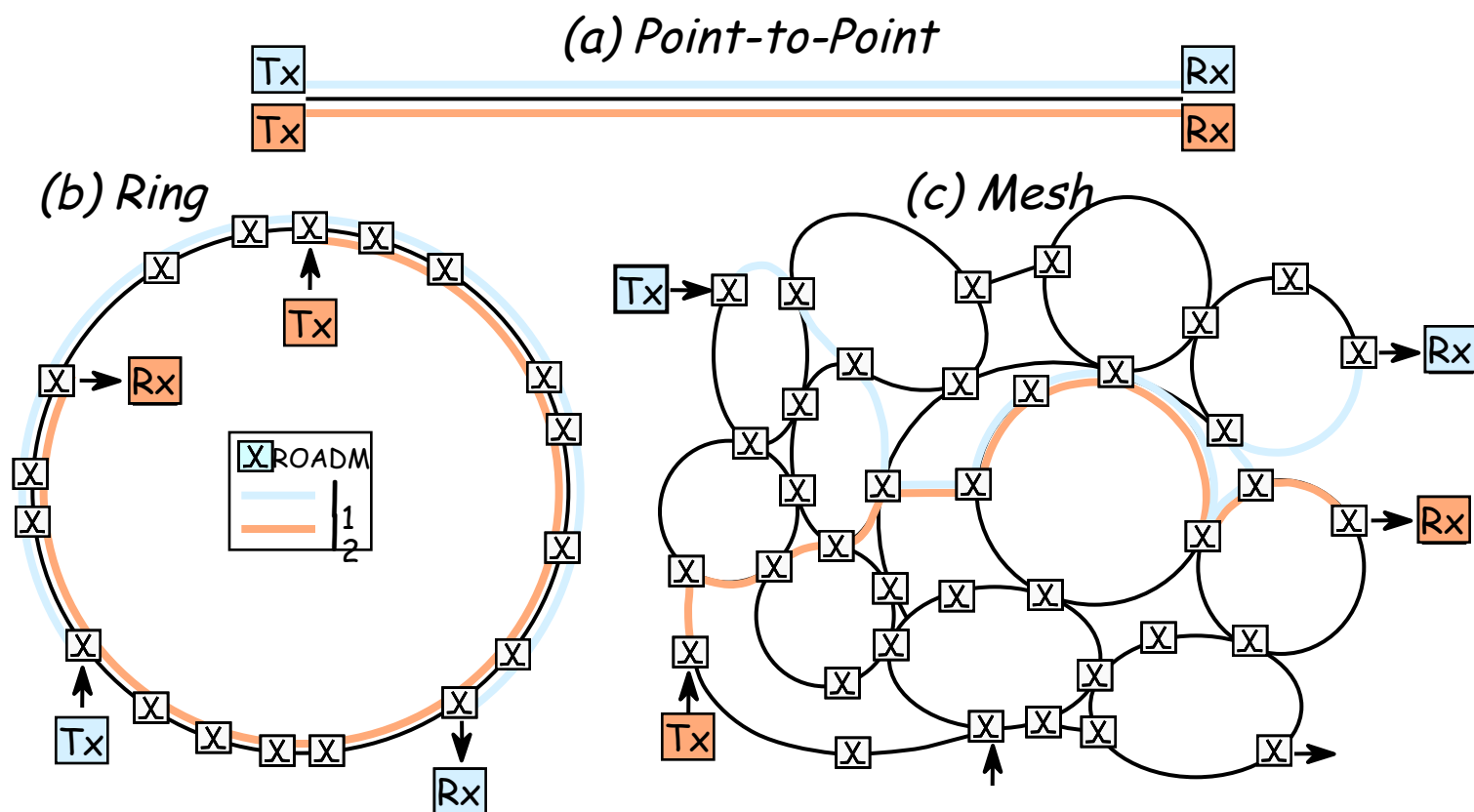
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# Shannon Limits for Optical Communication

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WS1: DSP & FEC: Towards the Shannon Limit  
ECOC 2009, Vienna, Austria, Sept. 20, 2009

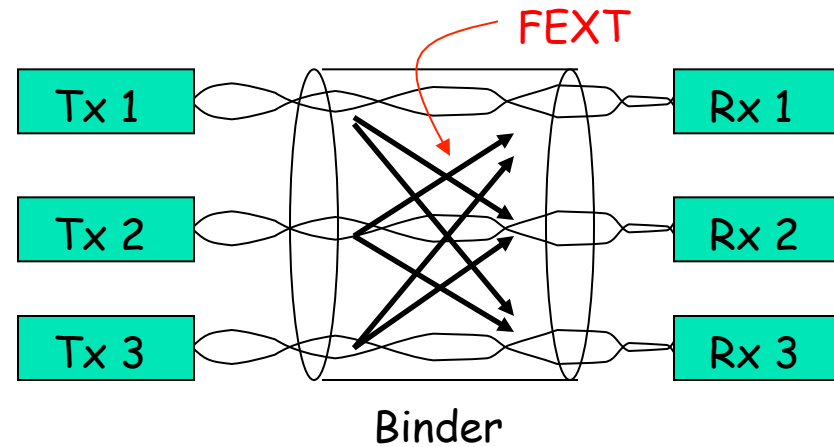
# Optically-Routed Networks



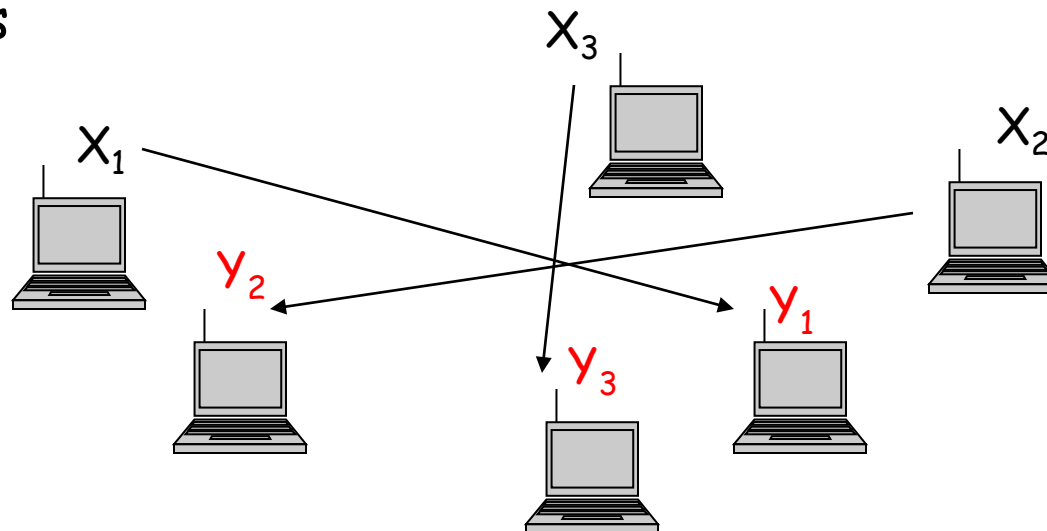
- Neighboring WDM signals **interfere** due to fiber nonlinearities.
- Above: 2 Tx-Rx Pairs. The K-pair problem is called a **K-user interference network (IN)** by information theorists.

- Other interference networks (INs):

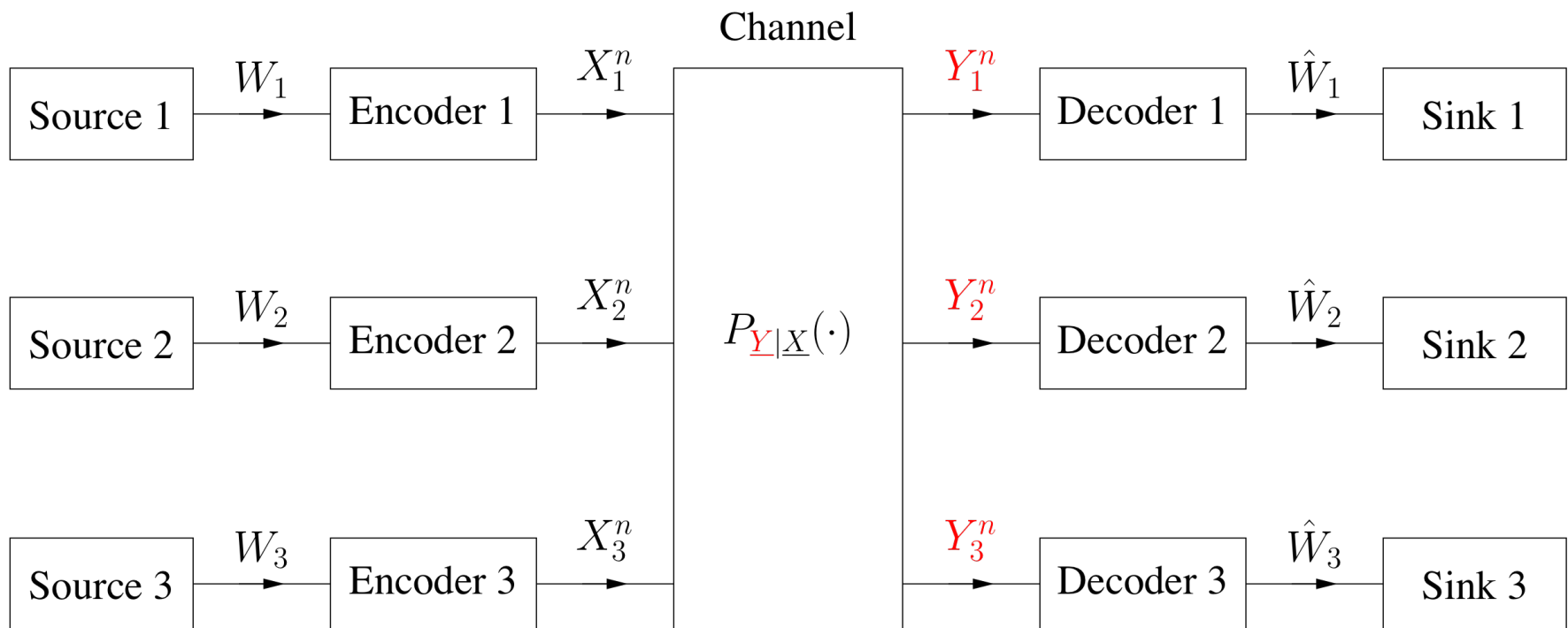
- Copper DSL loops



- Wireless



- General model for  $K=3$ :



- **Linear** channel:  $\underline{Y}_i = H \underline{X}_i + \underline{Z}_i$  ,  $i=1,2,\dots,n$
- Problem: find the  $(R_1, R_2, \dots, R_K)$  at which one can reliably communicate. Difficult even for  $K=2$  and **linear channels**.

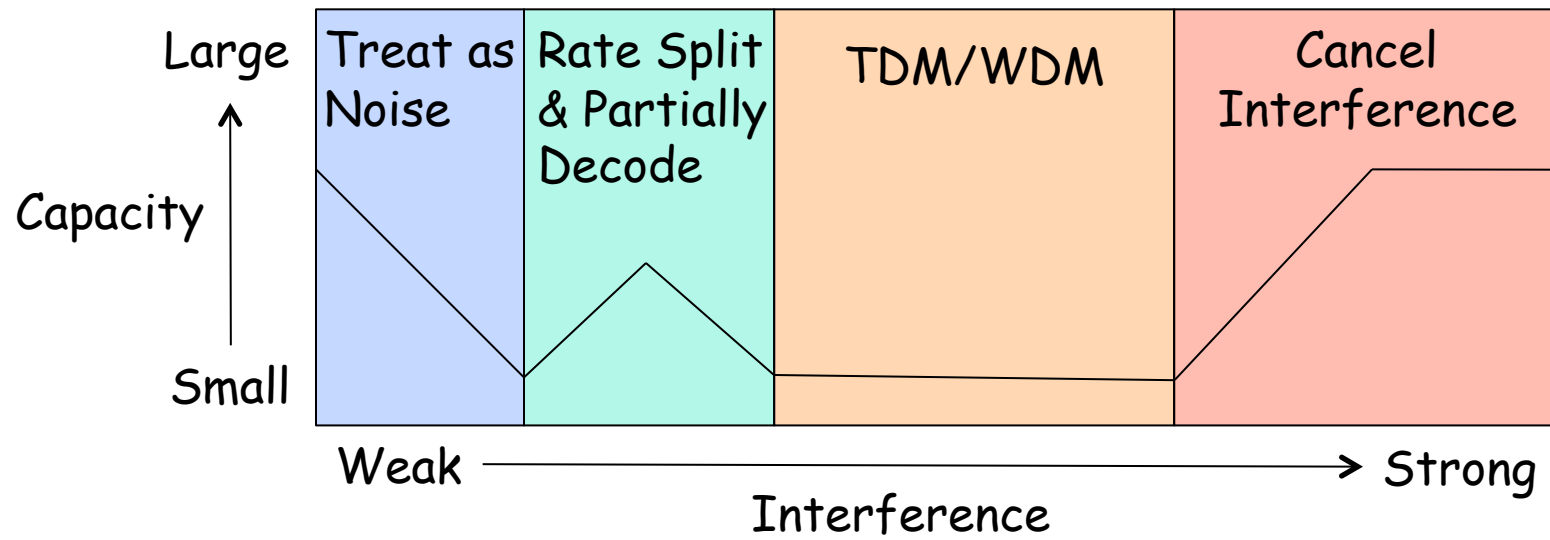
## K=2 and Linear Channel

- K=2 additive Gaussian noise model:

1

$$\begin{bmatrix} Y_1 \\ Y_2 \end{bmatrix} = \begin{bmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \end{bmatrix} + \begin{bmatrix} Z_1 \\ Z_2 \end{bmatrix}$$

- Best strategies for K=2:



## K=3 and Linear Channel

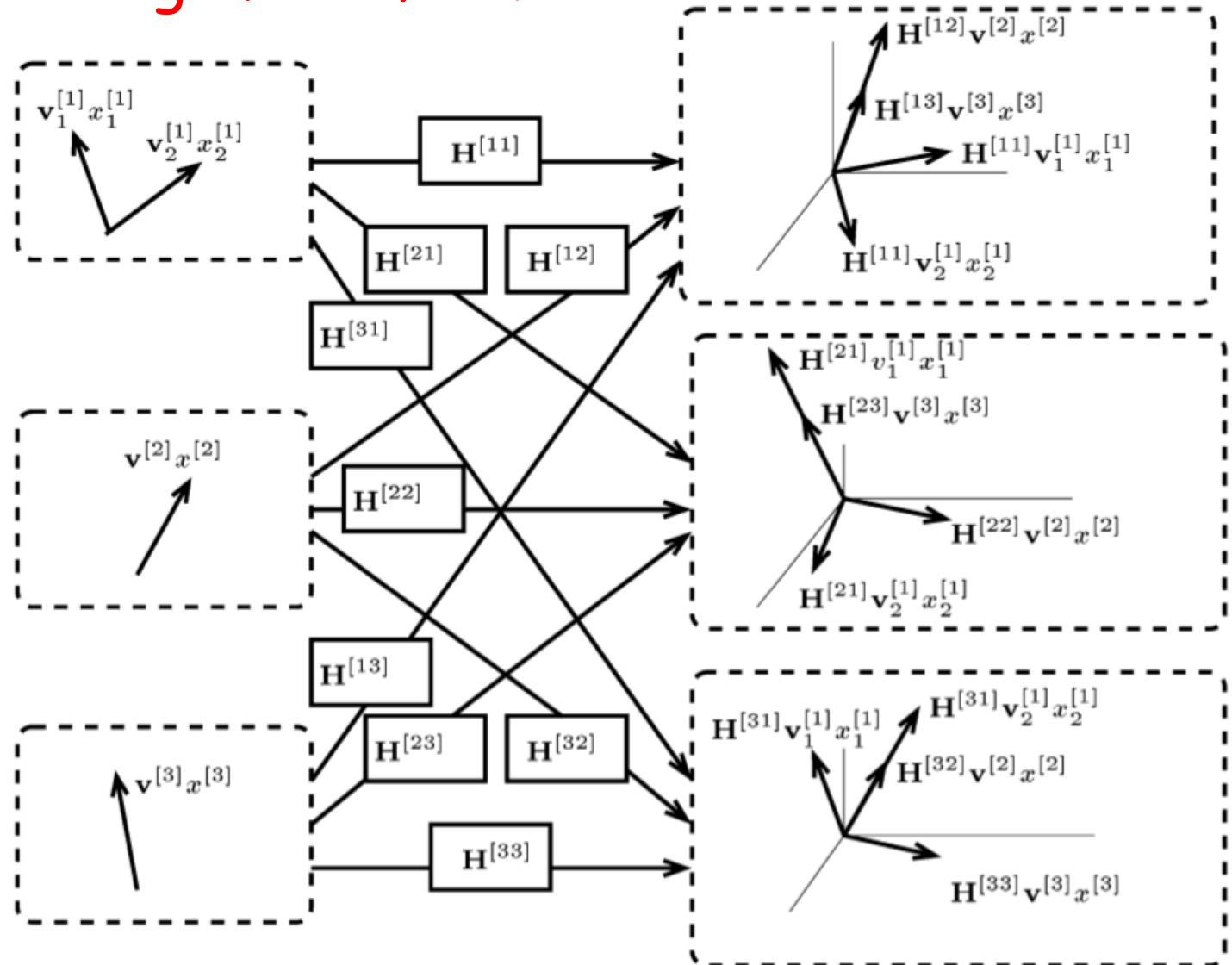
- K=3 additive Gaussian noise model:

$$\begin{bmatrix} Y_1 \\ Y_2 \\ Y_3 \end{bmatrix} = \begin{bmatrix} H_{11} & H_{12} & H_{13} \\ H_{21} & H_{22} & H_{23} \\ H_{31} & H_{32} & H_{33} \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \\ X_3 \end{bmatrix} + \begin{bmatrix} Z_1 \\ Z_2 \\ Z_3 \end{bmatrix}$$

- Similar regimes exist as for K=2 but there is ...
- New phenomena: for **time or frequency-varying** linear channels, can use “beamforming” sequences that **align interference** in a common “direction” at every receiver.
- Method invented by Maddah-Ali, Motahari, Khandani (2006/8)
- Improved and applied to INs by Cadambe/Jafar (2007/8)  
(2009 IEEE Information Theory Society Paper Award)

# Interference Alignment for K=3

- Example from Cadambe & Jafar (2008)
- Huge capacity gains (vs. TDM/WDM) for linear channels under rather idealistic assumptions



# Questions & Directions

- What methods can be used for **non-linear** optical channels?
  - Treating interference as noise ✓
  - Partial decoding ??
  - TDM/WDM ✓
  - Interference cancelation ? (Permit strong interference?)
  - Interference alignment ???  
(Challenges: need “rich” channel, universal channel knowledge)
- Interference networks assume **limited** node cooperation.  
Can **smart relaying** and **cooperative communication** methods help,  
e.g, intermediate decoding, multi-path routing, etc.  
(Wireless researchers are seriously studying such approaches)
- Information theory serves as a guide