



DSP & FEC: Towards the Shannon Limit



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Agenda

- 1. From 40G/100G to 400G
- 2. Implementation possibilities for 400G systems
- 3. Requirements for QAM with square constellations
- 4. Summary

Transition from 10G through 40G/100G to 400G Fundamental limits

40G/100G systems have roughly the same robustness against distortions as 10G systems.

Enabling technologies:

PSK/QPSK instead of OOK
 Highest SNR tolerance

Polarization-multiplex
 No improvement possible

Coherent detection & DSP

More efficient FECs

Space for improvements

400G systems will most likely have a lower tolerance against distortions (SNR, nonlinear effects) than 40G/100G systems.

Implementation of 400G transmission systems The agony of choice

Single carrier transmission



OFDM

High-level constellations



Low-level constellations

- High spectral efficiency
- Low symbol rate

- High noise tolerance
- Long transmission range

Star constellations



istellations

- Good phase noise tolerance
- # of diff. encoded bits depends on # of beams

Square constellations

- Good ASE noise tolerance
- Max. number of diff. encoded bits is 2.

Blind equalization

- Non-data-aided
- Decision-directed



Pilot-assisted equalization

- At start-up
- Continuously

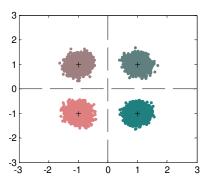


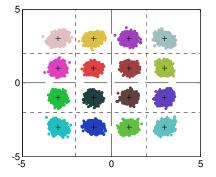
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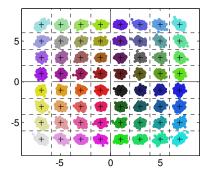
400G transmission systems using square QAM constellations OSNR requirements

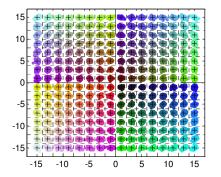
448 Gb/s polarization-multiplexed square QAM transmission system

| | 4-QAM (QPSK) | 16-QAM | 64-QAM | 256-QAM |
|----------------------------------|--------------|----------|-----------|-----------|
| Spectral efficiency | 4 b/s/Hz | 8 b/s/Hz | 12 b/s/Hz | 16 b/s/Hz |
| Bandwidth | 112 GHz | 56 GHz | 37 GHz | 28 GHz |
| OSNR for BER=10 ⁻³ | 16.3 dB | 20.1 dB | 24.3 dB | 28.9 dB |







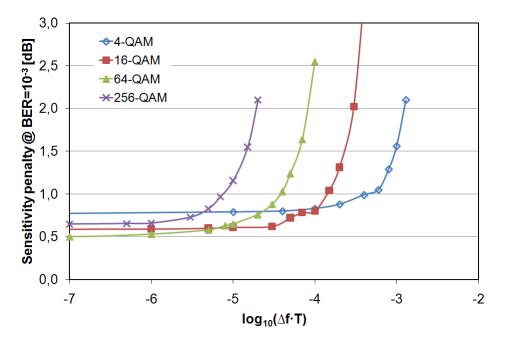




400G transmission systems using square QAM constellations Phase noise tolerance

448 Gb/s polarization-multiplexed square QAM transmission system

| | 4-QAM (QPSK) | 16-QAM | 64-QAM | 256-QAM |
|-----------------------------------|--------------|---------|---------|---------|
| Laser linewidth ^[1] | 22.5 MHz | 3.9 MHz | 750 kHz | 110 kHz |



QPSK and 16-QAM can be realized with DFB lasers.

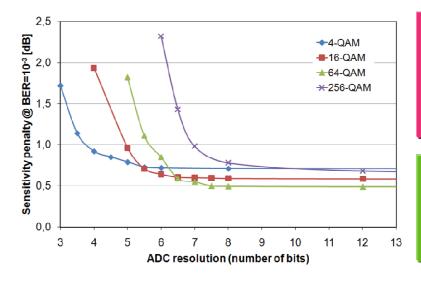
64-QAM and 256-QAM require ECLs.

[1] T. Pfau et al., IEEE J. Lightwave Technology, Vol. 27, No. 8, April 2009, pp. 989-999

400G transmission systems using square QAM constellations Analog-to-digital converter requirements

448 Gb/s polarization-multiplexed square QAM transmission system

| | 4-QAM (QPSK) | 16-QAM | 64-QAM | 256-QAM |
|-------------------------------------|--------------|-----------|----------|----------|
| Sampling rate (T/2-spaced sampling) | 224 GSa/s | 112 GSa/s | 74 GSa/s | 56 GSa/s |
| Effective # of bits (ENOB) [1] | 3.8 | 4.9 | 5.7 | 7.0 |



56 GSa/s 8-bit analog-to-digital converter is available today (ENOB > 6-bit).

Source: http://www.fujitsu.com/emea/services/microelectronics/dataconverters/chais/index.html

30 GSa/s 6-bit digital-to-analog converter is available today (ENOB > 5-bit).

Source: http://www.micram.de/index.php/products/vega

[1] T. Pfau et al., IEEE J. Lightwave Technology, Vol. 27, No. 8, April 2009, pp. 989-999

400G transmission systems using square QAM constellations Summary of system properties

448 Gb/s polarization-multiplexed square QAM transmission system

| | 4-QAM (QPSK) | 16-QAM | 64-QAM | 256-QAM |
|-------------------------------------|--------------|-----------|-----------|-----------|
| Spectral efficiency | 4 b/s/Hz | 8 b/s/Hz | 12 b/s/Hz | 16 b/s/Hz |
| Bandwidth | 112 GHz | 56 GHz | 37 GHz | 28 GHz |
| OSNR for BER=10 ⁻³ | 16.3 dB | 20.1 dB | 24.3 dB | 28.9 dB |
| Diff. coding penalty | 2 | 1.67 | 1.43 | 1.27 |
| Laser linewidth | 22.5 MHz | 3.9 MHz | 750 kHz | 110 kHz |
| Sampling rate (T/2-spaced sampling) | 224 GSa/s | 112 GSa/s | 74 GSa/s | 56 GSa/s |
| Effective # of bits (ENOB) | 3.8 | 4.9 | 5.7 | 7.0 |

Summary

- 400G systems will place higher requirements on the network infrastructure.
- There is a huge variety of implementation possibilities.
- Real-time implementation of 400G systems will be possible in the near future.

